# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Zeng Xin DONG

Serial No.:

10/542,227

Art Unit: not yet assigned

Filed:

j.

July 15, 2005

Examiner: not yet assigned

Entitled:

PEPTIDE YY ANALOGS

Attorney Docket No.: 127P/PCT/US (BIO-127.OP US)

## Mail Stop AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

### TRANSMITTAL LETTER

Sir:

Transmitted herewith are: [X] an Information Disclosure Statement (IDS) under 37 CFR §§ 1.56, 1.97 and 1.98 (3 pages); [X] a modified Form PTO-1449 (2 pages); [X] photocopies of cited foreign patent documents and non-patent documents, to be filed in the above-identified patent application; and [X] a return receipt post card.

## **PAYMENT OF FEES**

- [ ] A check in the amount of \$\_\_\_\_ (check no. ) in payment of the fee for submission of an Information Disclosure Statement transmitted herewith.
- [X] The Commissioner is hereby authorized to charge payment of any additional fees required under 37 CFR §1.16 or §1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0268. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,

Leon R. Yankwich, Reg. No. 30,237

Michael R. Wesolowski, Reg. No. 50,944

Attorneys for Applicant

YANKWICH & ASSOCIATES, P.C.

201 Broadway

Cambridge, Massachusetts 02139

telephone: (617) 374-3700 telecopier: (617) 374-0055

# CERTIFICATE OF MAILING

I hereby certify that this correspondence and accompanying enclosures are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

August 26, 2005

Date

Nasim G. Memon

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Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

# <u>UNDER 37 C.F.R. §§ 1.56, 1.97 AND 1.98</u>

### Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office.

A modified Form PTO-1449 listing the cited documents is also enclosed, as well as paper copies of the cited foreign patent documents and non-patent literature documents. Pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed to be required with this submission.

### U.S. Patents:

- U.S. 5,516,653 issued May 14, 1996 (Bard et al.);
- U.S. 5,545,549 issued August 13, 1996 (Gerald et al.);
- U.S. 5,574,010 issued November 12, 1996 (McFadden);
- U.S. 5,602,024 issued February 11, 1997 (Gerald et al.);
- U.S. 5,604,203 issued February 18, 1997 (Balasubramaniam);
- U.S. 5,912,227 issued June 15,1999 (Croom, Jr. et al.);
- U.S. 5,916,869 issued June 29, 1999 (Croom, Jr. et al.);
- U.S. 5,968,819 issued October 19, 1999 (Gerald et al.);
- U.S. 5,976,814 issued November 2, 1999 (Bard et al.);
- U.S. 5,989,834 issued November 23, 1999 (Gerald et al.);

- U.S. 5,989,920 issued November 23, 1999 (Gerald et al.);
- U.S. 6,046,167 issued April 4, 2000 (Balasubramaniam);
- U.S. 6,087,154 issued July 11, 2000 (Baez et al.);
- U.S. 6,242,251 B1 issued June 5, 2001 (Baez et al.);
- U.S. 6,316,203 B1 issued November 13, 2001 (Gerald et al.);
- U.S. 6,355,478 B1 issued March 12, 2002 (Baez et al.);
- U.S. 2002/0103123 A1 published August 1, 2002 (Gerald et al.); and
- U.S. 2002/0141985 A1 published October 3, 2002 (Pittner et al.).

### **Foreign Patent Documents:**

EP 0732875 B1 published July 2, 2003 (Gerald et al.);

EP 0746332 B1 published February 9, 2000 (Bard et al.);

WO 93/24515 A1 published December 9, 1993 (Wahlestedt);

WO 94/22467 A1 published October 31, 1994 (Balasubramaniam);

WO 95/17906 A1 published July 6, 1995 (Bard et al.);

WO 95/21245 A1 published August 10, 1995 (Gerald et al.);

WO 96/14854 A1 published May 23,1996 (McFadden);

WO 96/16542 A1 published June 6, 1996 (Gerald et al.);

WO 96/22783 A1 published August 1, 1996 (Croom, Jr. et al.);

WO 97/46250 A1 published December 11, 1997 (Gerald et al.);

WO 97/48406 A1 published December 24, 1997 (Baez et al.);

WO 98/20885 A1 published May 22, 1998 (Balasubramaniam);

WO 01/54486 A1 published August 2, 2001 (Tilley); and

WO 02/47712 A2 published June 20, 2002 (Pittner et al.).

## **Other Documents:**

Balsubramaniam et al., Peptide Research, 1(1):32-35 (1988);

Batterham et al., *Nature*, 418:650-654 (2002);

Schwartz and Morton, Nature, 418:595-597 (2002); and

Tscöp et al., Nature, 430:165 (2004).

U.S. Appln. No. 10/542,227

Atty. Docket: 127P/PCT/US (BIO-127.0P US)

Applicant does not intend to represent that any of the documents submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicant respectfully requests that the documents submitted herein be considered and made of record in this application.

Respectfully submitted,

Leon R. Yankwich, Reg. No. 30,237

Michael R. Wesolowski, Reg. No. 50,944

Attorneys for Applicant

YANKWICH & ASSOCIATES, P.C.

201 Broadway

Cambridge, Massachusetts 02139

telephone: (617) 374-3700 telefax: (617) 374-0055

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August 26, 2005

Date

Nasim G. Memon

Modified FORM PTO-1449	ATTY. DOCKET NO. 127P/PCT/US (BIO-127.0P US)	SERIAL NO. 10/542,227		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT Zheng Xin DONG			
(Use several sheets if necessary)	FILING DATE July 15, 2005	GROUP not yet assigned		

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/R.T./							
	AA	U.S. 5,516,653	05/14/96	Bard et al.			
90000	AB	U.S. 5,545,549	08/13/96	Gerald et al.			
90000	AC	U.S. 5,574,010	11/12/96	McFadden			l
000	AD	U.S. 5,602,024	02/11/97	Gerald et al.	J		
00000	AE	U.S. 5,604,203	02/18/97	Balasubramaniam			
	AF	U.S. 5,912,227	06/15/99	Croom, Jr. et al.			
	AG	U.S. 5,916,869	06/29/99	Croom, Jr. et al.			
	AH	U.S. 5,968,819	10/19/99	Gerald et al.			
999	AI	U.S. 5,976,814	11/02/99	Bard et al.	1		
00000	AJ	U.S. 5,989,834	11/23/99	Gerald et al.			
0000	AK	U.S. 5,989,920	11/23/99	Gerald et al.			
	AL	U.S. 6,046,167	04/04/00	Balasubramaniam			
	AM	U.S. 6,087,154	07/11/00	Baez et al.			
	AN	U.S. 6,242,251 B1	06/05/01	Baez et al.			
	AO	U.S. 6,316,203 B1	11/13/01	Gerald et al.			
	AP	U.S. 6,355,478 B1	03/12/02	Baez et al.			
	AQ	US 2002/0103123 A1	08/01/02	Gerald et al.			
V	AR	US 2002/0141985 A1	10/03/02	Pittner et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	TRANSLATION YES NO	
		NUMBER		TYPETAL	CLAROS	SOBCEASS	1 - 2	
711.1./	BA	EP 0732875 B1	07/02/2003	Gerald et al.				
	BB	EP 0746332 B1	02/09/2000	Bard et al.				
	BC	WO 93/24515 A1	12/09/1993	Wahlestedt				
	BD	WO 94/22467 A1	10/13/1994	Balasubramaniam				
000000	BE	WO 95/17906 A1	07/06/1995	Bard et al.				
	BF	WO 95/21245 A1	08/10/1995	Gerald et al.				
	BG	WO 96/14854 A1	05/23/1996	McFadden				
	BH	WO 96/16542 A1	06/06/1996	Gerald et al.				
000	BI	WO 96/22783 A1	08/01/1996	Croom, Jr. et al.				
0000	BJ	WO 97/46250 A1	12/11/1997	Gerald et al.				
***************************************	BK	WO 97/48406 A1	12/24/1997	Baez et al.				
	BL	WO 98/20885 A1	05/22/1998	Balasubramaniam				
	BM	WO 01/54486 A1	08/02/2001	Tilley				
V	BN	WO 02/47712 A2	06/20/2002	Pittner et al.				
	ВО							

CA Balasubramaniam et al., Peptide Research, 1(1):32-35 (1988)

CB Batterham et al., Nature, 418:650-654 (2002)

CC Schwartz et al., Nature, 418:595-597 (2002)

CD Tscöp et al., Nature, 430:165 (2004)

CE

CF

/Roy Teller/

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

sheet \_1\_ of \_\_1\_